

Form 6

THE ETF INVESTMENT PLAN™ – SWITCH APPLICATION FORM

To be completed when switching from one ETF Investment Plan™ security to another.

Please complete this application form and submit by fax to 011 388 6965 or E-mail etf.nf@aospartner.com. Should you have any queries concerning this application form, please contact our Call Centre on: 086 1289 383 (International Dialling: +27 11 561 6890).

COMMUNICATION WITH THE ETF INVESTMENT PLAN™

Please send all communication (including quarterly statement) via: Email Post

(Please note, where no preference is indicated, email will be used for communication by Automated Outsourcing Services (Pty) Ltd (“the Administrator”))

1. INVESTOR DETAILS

ETF Investment Plan™ Client No.:

Title: First Names:

Surname / Registered Name:

Identity / Passport No.: Date of birth:

Home Tel No.: Office Tel. No.:

Fax No.: Cellular No.:

E-mail Address:

2. SWITCH DETAILS

I/We hereby request the Administrator to switch securities from my/our account as follows:

Security Name	Rand Amount	Or Units	Or Percentage	To Security Name	Switch Debit Order		
					Yes	No	
					Yes	No	
					Yes	No	
					Yes	No	
					Yes	No	
					Yes	No	

Please Note:

- There may be a delay if this Switch Form is not fully completed. The switch will only be effected if all relevant FICA documentation has been received.
- The client must initial all amendments made to the Switch Form.

3. INVESTOR DECLARATION

The Investor, or where applicable, his authorised signatory, by appending his signature hereto, states and declares that he/she has read and understood the terms and conditions pertaining to this investment product and the investment media selected; warrants that all statements given by him in the switch form are true and correct in every respect and that such statements, together with the Investment Confirmation, shall form the basis of the contract, which is to be entered into with Automated Outsourcing Services (Pty) Ltd, as well as the contract between the Investor and the Financial Service Provider/ Representative.

The Investor acknowledges that he/she is aware of and understands the fees and commissions applicable to this investment, and the risks associated with the investment choice.

The investor agrees that the responsibility to assure receipt of any instruction by the Administrator via fax or e-mail remains the responsibility of the investor

Signed at

Signature of Investor (or duly authorised person/s where applicable)

Date (ccyy-mm-dd)

Signature of Contact Person or Legal Guardian (if applicable)

Date (ccyy-mm-dd)

4. FEE SCHEDULE

Transaction Fees (these fees are charged on both legs, i.e. buy and sell, of this transaction)

Stock brokerage fees: 0.10%.

Nominal Strate and Investor Protection levies will also be charged.

All fees quoted are exclusive of VAT. VAT will be levied where applicable.

Capital Gains Tax liabilities can arise from the sale of ETF Investment Plan securities when effecting switch transactions.

5. CONTACT DETAILS

The ETF Investment Plan™

Contact Details	Postal Address	Physical Address
Contact Centre : 086 128 9383 Fax: +27 (011) 388 6965 Email: etf.nf@aospartner.com Website: www.absacapitaletfs.com	PO Box 4769 Randburg 2125	15 Philips Street Randburg

FICA Documentation Checklist

Due Diligence Requirements – New Applicants must send the following applicable FICA documents Together with a fully completed New Business Application form.

Additional or updated documentation may be requested in certain circumstances.

Please ensure that the information provided on the FICA documentation is clear and certified if a copy.

All FICA documents must be less than 3 months old except for income tax forms / property insurance policy schedules.

If a Financial Service Provider (FSP) / Representative is utilised, a certified copy of the FSP license is required.

Individual	Trust
<p style="text-align: center;">Identification</p> <p><u>Clear copy of one of the following:</u></p> <ul style="list-style-type: none"> • Current valid passport • National identity card or document • Armed Forces identity card <p style="text-align: center;">Confirmation of address</p> <p><u>Clear copy of one of the following, confirming Name and Address:</u></p> <ul style="list-style-type: none"> • A utility bill • A council tax bill / assessment • An income tax form / extract [NB: a printout off e-filing is not acceptable proof of residence] • A property insurance policy schedule • A most recent lease / rental agreement • Affidavit (NB: must be declared by the investor and not a third party). <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Proof of South African Banking details – either: <ul style="list-style-type: none"> - Cancelled Cheque or Bank Statement (no internet or credit card statement accepted) - A letter from Bank confirming banking details 	<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Trustees – as per individual requirements, or company requirements for corporate trustees • Extract of Trust Deed pages showing name of Trust, parties to the Trust and signature pages • Letter of Authority from Master (SA Trust) or Foreign Regulator (Foreign Trusts) to Trustees <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Trust – as per individual requirements • Trustees – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Trust banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number

<ul style="list-style-type: none"> • Proof of Income Tax Number 	
<p style="text-align: center;">Investing in Name of Minor</p>	<p style="text-align: center;">Investor Clubs and Stokvels</p>
<ul style="list-style-type: none"> • Minor – Certified copy of birth certificate • Legal Guardian – as per individual requirements 	<ul style="list-style-type: none"> • Copy of constitution / founding document • Copy of register of participants • Letter electing and authorising person to act on behalf of the club / stokvels • Representative – as per individual requirements • Administrator reserves the right to request FICA documents for all participants <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Club and Stokvel banking details – as per individual requirements
<p style="text-align: center;">Company</p>	<p style="text-align: center;">Partnership</p>
<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Certificate of Incorporation (CM1, CM22 and CM29) • Board resolution authorising the investment (and / or approval to act as trustee if a corporate trustee) • Directors – as per individual requirements • All shareholders holding 25% or more of voting rights at a general meeting – as per individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Company – CM1, CM22 and CM29 • Directors – as per individual requirements • Name(s) and address(es) of all directors • All shareholders holding 25% or more of voting rights at a general meeting – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number 	<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Latest Annual Reports and Accounts • Resolution of the partners to invest • All Partners – as per individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • All Partners – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number <p style="text-align: center;">Closed Corporations</p> <p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Founding Statement and Certificate of Incorporation • Resolution of the members to invest • Members – as per individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Close Corporation – CK1 and CK2 • Members – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number
<p>Source of funds (the proceeds to be invested need to have been accumulated and invested legitimately):</p> <ul style="list-style-type: none"> - Declaration on the application advising how the funds have legitimately arisen (from which legitimate activity, event or circumstances) 	